

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	218249US0DIV
First Inventor or Application Identifier	Tadashi KAJIUCHI et al
Title	SUCCINIMIDE COMPOUND, PROCESS FOR PRODUCING THE SAME, LUBRICATING OIL ADDITIVE, AND LUBRICATING OIL COMPOSITION FOR INTERNAL COMBUSTION ENGINE
Assignee Name:	IDEMITSU KOSAN CO., LTD.
Assignee Address:	1-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo, 100-0005 Japan

## APPLICATION ELEMENTS

See MPEP chapter 601 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- 2 ☒ Specification Total Sheets **23**
- 3 ☐ Formal Drawing(s)  
(35 U.S.C. 113) Total Sheets
- 4 ☒ Oath or Declaration Total Pages **3**
- a ☐ Newly executed (original or copy)
- b ☒ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation / divisional w/ box 17 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in  
the prior application, see 37 C.F.R. §1.63(d)(2) and  
1.33(b).
- 5 ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (*Appendix*)
- 6 ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a ☐ Computer Readable Form (CRF)
- b Specification or Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- 7 ☐ Assignment Papers (cover sheet & document(s))
- 8 ☒ Application Data Sheet. See 37 CFR 1.76
- 9 ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
- 10 ☐ English Translation Document (if applicable)
- 11 ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
- 12 ☒ Preliminary Amendment (with marked-up copy)
- 13 ☒ White Advance Serial No. Postcard
- 14 ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 15 ☐ Applicant claims small entity status.  
See 37 CFR 1.27
- 16 ☒ Other: Request for Priority  
PCT/IB/304

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

- ☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/601,335
- Prior application information: Examiner: J. Johnson Group Art Unit: 1764

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- ☒ This application is a ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP)  
of application Serial No. 09/601,335 Filed on August 10, 2000, now abandoned, which was originally filed as  
International Application No. PCT/JP99/03001 on June 4, 1999.
- ☐ Which was published in English
- ☐ Which was not published in English
- ☐ This application claims priority of provisional application Serial No. Filed

## 19. CORRESPONDENCE ADDRESS



**22850**

(703) 413-3000

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Name	Norman F. Oblon	Registration No.	24,618
Signature	<i>[Signature]</i>	Date	Feb 11, 2002
Name	Harris A. Pitlick	Registration No.	38,779

Docket No. 218249US0DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Tadashi KATAFUCHI et al

SERIAL NO: New Application

FILING DATE: Herewith

FOR: SUCCINIMIDE COMPOUND PROCESS FOR PRODUCING THE SAME, LUBRICATING OIL  
ADDITIVE, AND LUBRICATING OIL COMPOSITION FOR INTERNAL COMBUSTION ENGINE

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	4 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	2 - 3 =	0	× \$84 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$740.00
TOTAL OF ABOVE CALCULATIONS				\$740.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$740.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of **\$740.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.  
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Norman F. Oblon  
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Harris A. Pitlick  
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Date: Feb 11 2002



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## PATENT COOPERATION TREATY

PCT

From the INTERNATIONAL BUREAU

NOTIFICATION CONCERNING  
SUBMISSION OR TRANSMITTAL  
OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

To:

TOHEI, Masamichi  
Idemitsu Kosan Co., Ltd.  
24-4, Anesakikaigan  
Ichihara-shi  
Chiba 299-0107  
JAPON

Date of mailing (day/month/year) 05 August 1999 (05.08.99)	
Applicant's or agent's file reference CN99038	<b>IMPORTANT NOTIFICATION</b>
International application No. PCT/JP99/03001	International filing date (day/month/year) 04 June 1999 (04.06.99)
International publication date (day/month/year) Not yet published	Priority date (day/month/year) 05 June 1998 (05.06.98)
Applicant IDEMITSU KOSAN CO., LTD. et al	

1. The applicant is hereby notified of the date of receipt (except where the letters "NR" appear in the right-hand column) by the International Bureau of the priority document(s) relating to the earlier application(s) indicated below. Unless otherwise indicated by an asterisk appearing next to a date of receipt, or by the letters "NR", in the right-hand column, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
2. This updates and replaces any previously issued notification concerning submission or transmittal of priority documents.
3. An asterisk(\*) appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b). In such a case, **the attention of the applicant is directed** to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
4. The letters "NR" appearing in the right-hand column denote a priority document which was not received by the International Bureau or which the applicant did not request the receiving Office to prepare and transmit to the International Bureau, as provided by Rule 17.1(a) or (b), respectively. In such a case, **the attention of the applicant is directed** to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

<u>Priority date</u>	<u>Priority application No.</u>	<u>Country or regional Office or PCT receiving Office</u>	<u>Date of receipt of priority document</u>
05 June 1998 (05.06.98)	10/157149	JP	27 July 1999 (27.07.99)

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Facsimile No. (41-22) 740.14.35

Authorized officer

Marc Salzman

Telephone No. (41-22) 338.83.38

